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## SUPERIOR COURT OF THE STATE OF CALIFORNIA

REPLY TO THE MEMORANDA OF POINTS & AUTHORITIES IN OPPOSITION TO THE VERIFIED PETITION FOR WRIT OF MANDATE [C.C.P § 1085] AND COMPLAINT FOR DECLARATORY AND INJUNCTIVE

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#### INTRODUCTION

The State Personnel Board ("SPB" or "Board") establishes classifications into which all state employees are placed. The Department of Human Resources ("CalHR") is the agency responsible for placing employees into the classifications SPB creates. This process of sorting employees into their appropriate classifications is called position allocation. CalHR confuses its responsibility for allocating employees among classifications with the State Personnel Board's exclusive jurisdiction over determining the duties and description of each classification. Board-established classifications are grouped by series and the hierarchical structure within each classification series is evident in the classification specifications and the documents reviewed by the Board when it periodically amends, abolishes, or establishes classifications. Nothing in the statutory grant of allocation authority to CalHR grants them the authority to undermine reporting relationships among classifications.

Here, the State Personnel Board wrongly refused to find an exercise of its jurisdiction "justified." It refused to act to resolve a controversy in an area under its exclusive control: the use of the Senior Environmental Scientist Supervisory classification. The Court must remedy this failure by directing CalHR and the California Department of Fish and Wildlife ("DFW") to cease the impermissible use of Senior Environmental Scientist Supervisory employees to supervise their Senior Environmental Scientist Specialist peers. In the alternative, it must direct the State Personnel Board to take up the matter as required under the State Constitution, as requested by CAPS in its Petition for Writ of Mandate under California Code of Civil Procedure Section 1085.

CAPS appropriately brings this action as both the duly-certified exclusive collective bargaining representative (pursuant to Government Code Section 3520.5) for the rank-and-file Senior Environmental Scientist Specialists and the recognized supervisory employee organization (pursuant

to Government Code section 3527(c) and 3537) representing excluded-employee Senior Environmental Scientist Supervisors (contrary to CalHR's assertion otherwise).

#### ARGUMENT

# I. RESPONDENT CONFUSES THE PRESCRIPTION OF CLASSIFICATIONS WITH THE ALLOCATION OF EMPLOYEES TO CLASSIFICATIONS.

#### A. Allocation is Not Classification.

CalHR correctly insists it has the authority to administer the Personnel Classification Plan, including the allocation of every position to the appropriate class of the classification plan under Government Code Section 19818.6. CalHR incorrectly implies, however, that the terms "allocation" and "classification" are synonymous. They are not. CAPS does not dispute CalHR's authority over the allocation of positions, but that is not what is at issue here.

The SPB retains the exclusive jurisdiction to classify positions in the state civil service under Government Code Section 18701 et seq. and Article VII of the California State Constitution. Nothing in Section 19818.6 alters their exclusive jurisdiction. Section 19818.6 merely grants CalHR administration and allocation authority. To analogize: the Board creates a file folder for each type of work performed by the state, gives each a title and a description, and orders them in a file box. CalHR is responsible for filling each file with the resumes of qualified people to execute the duties described for each. State law calls the file folders "classifications" and the process of filling each file folder is called "allocation."

Government Code section 19818 vests CalHR with the power to administer, not create, the Personnel Classification Plan. Section 19818.6 gives CalHR the power to allocate each position to the appropriate class in the classification plan that is created by the State Personnel Board. Here, CalHR attempts to read into Section 19818.6 the authority to create reporting relationships. The statute grants no such authority.

Throughout its opposition, CalHR asserts its allocation authority but fails to offer support for its ability to violate the Personnel Classification Plan. CalHR violates the Plan when it directs Senior Environmental Scientist Supervisors to supervise Senior Environmental Scientist Specialists despite even its own documents clearly describing the classifications as peers to be supervised by an Environmental Program Manager I. (RJN Ex. E, p 49; Ex. D, p 40) This is not an argument against CalHR's allocation of employees within the available civil service classifications. As evidenced by the 2014 DFW memorandum, CalHR was not reallocating people to positions but rather *reimagining the duties of the classifications themselves*. The state body with the authority to alter classifications is the State Personnel Board, exclusively.

B. The Use or Misuse of Non-Scientific Supervisory and Specialist Classifications Has No Bearing on the Instant Case.

CalHR refers to practices (not laws) applied to other classifications not at issue in this matter. It matters not that CalHR has allowed the Senior Information Systems Analyst (Supervisory) to supervise incumbents in the Senior Information Systems Analyst (Specialist) classification. CalHR still provides no legal authority for it impermissible alteration of the Board-adopted Environmental Scientist classification series. The practice CAPS challenges here exceeds CalHR's authority.

### C. CalHR Policy Does Not Supersede State Constitutional or Statutory Law.

1. CalHR's Salary-Setting Function Does not Trump the Board's Power to Determine Civil Service Classifications.

CalHR offers no statutory basis for its salary relationship policy and no statutory basis for internal salary policies preempting the State Personnel Board's exclusive jurisdiction over the establishment of classification specifications.

CalHR argues that the California Code of Regulations dictates that for a classification to supervise another classification it "must have a salary of ten percent or more than the reporting

classification." (CalHR'S Opposition Brief, page 13) It cites Title 2, Section 431 which merely defines the promotional salary relationship between classes. Even if CalHR's internal salary relationship policy were reflected in 2 CCR Section 431 (which it is not), the regulation would not mean what CalHR says it means. The regulation does not prescribe salary relationships between supervisors and subordinates and CalHR lacks the authority to use salaries as an excuse to impermissibly alter classification specifications.

CalHR implies that Government Code section 18802 provides it with more than the right to provide a recommendation to SPB. It does not. Section 18802 merely requires the Board to consider the recommendations of CalHR when it establishes, combines, alters, or abolishes classifications.

Government Code section 19818.10 also provides CalHR with the task of assessing the adequacy of the Personnel Classification Plan and "recommend[ing] changes." (emphasis added)

2. State law requires only that SPB "consider" the recommendations of CalHR.

In this case, the Board fulfilled section 18802's requirement when it reviewed CalHR's recommendations in 2001 and 2013 regarding changes to the Environmental Scientist class series. In both 2001 and 2013, CalHR described both species of Senior Environmental Scientist classes as peers reporting to Environmental Program Managers I. (RJN Ex. E, p 49; Ex. D, p 40) It made no recommendations to the contrary.<sup>1</sup>

# II. THE STATE PERSONNEL BOARD FAILED TO EXECUTE ITS DUTY WHEN IT FAILED TO EXERCISE ITS JURISDICTION OVER THIS MATTER.

A. The State Constitution Authorizes Solely the State Personnel Board to Develop the Personnel Classification Plan.

CalHR argues that it maintains statutory authority and discretion to allocate positions to classifications. While this is true, it is not true that this authority to allocate positions to

<sup>&</sup>lt;sup>1</sup> Note, however, that nothing in statute requires the Board to adopt CalHR's recommendations.

classifications supersedes the SPB's jurisdiction over the establishment, abolishment, or amending of classifications. CalHR misleads the Court when it conflates the creation of classifications and their reporting structures with the allocation of positions to various classifications. SPB's jurisdiction derives directly from Article VII of the California State Constitution. Furthermore, Government Code section 18800 reinforces the assignment of the duty to create and adjust classes of positions to the Board.

B. SPB's 2016 Letter Refusing to Exercise Jurisdiction Does Not Support CalHR's Arguments But Does Support Finding That SPB Failed to Execute Its Constitutionally-Prescribed Duties.

CalHR argues that the Board did not find any violation of the class specifications or of any civil service law. (CalHR's Opposition Brief page 10) The Board's April 8, 2016 letter, however, refuses to find its jurisdiction "justified" over this dispute. It made no substantive finding regarding CalHR's violation of the Personnel Classification Plan. In fact, the Board did not even deny it has jurisdiction over this matter. The Board said it "continues to believe than an exercise of its jurisdiction over reporting relationships between the two class specifications does not appear justified." (Declaration of Alvin Gittisriboongul, Exhibit A; SPB's Opposition Brief, page 1) The Board erred when it failed to exercise its jurisdiction over this matter and in doing so, failed in its responsibility over the civil service. Here, CalHR and DFW acted outside of their specific grant of authority and SPB failed to uphold its constitutional duty to enforce the civil service statutes under Article VII, Section 3. There is no discretion afforded to the Board in Article VII, Section 3. The State Constitution assigns the Board a clear and present duty to "enforce the civil service statutes." The Board cannot simply choose not to do so.

For all of the reasons cited above, even if the Court does not order CalHR and DFW to cease their impermissible use of the Senior Environmental Scientist Supervisor classification, it must, in the

alternative, order the Board to exercise its jurisdiction over the matter and address DFW's use of the Senior Environmental Scientist Classification. In the letter, the Board refers to the controversy as potentially a "classification planning issue." Such issues routinely come before the Board for their consideration of CalHR recommendations. The Board cannot simply refuse its constitutionally-prescribed duty in establishing the Personnel Classification Plan.

# III. NO ADMINISTRATIVE PROCESS REQUIRED EXHAUSTION PRIOR TO THE INSTANT ACTION.

CalHR argues that CAPS failed to exhaust the administrative process for addressing misallocation claims under Government Code section 19818.6. (CalHR Opposition Brief page 16)

Once again, CalHR confuses allocation and classification. CAPS is not challenging a misallocation of positions, but rather challenges the impermissible amendment of a civil service classification series.

This misunderstanding is highlighted in the Declaration of Manpreet Singh which is cited as support for departmental need allowing DFW and CalHR to consider the number of incumbents in a class when justifying the allocation of positions for Environmental Program Manager I. CAPS does not challenge the allocation of positions to any position in the Environmental Scientist Class Series.

CAPS challenges the redefining of what a class within that series can do relative to other classes in that series. That work belongs to the Board alone — and the State Constitution and statute require it to act.

#### CONCLUSION

Allocation is not classification. CalHR has the authority to allocate employees among available classifications, however, here it attempts to alter the classifications themselves by assigning reporting relationships that conflict with the SPB-adopted Environmental Scientist Series classification specifications.